

# 8/CPA 12/13/99 - 3724 \$  
LSI-2759.CPA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
Patent Application



I hereby certify that this transmittal of the below described documents is being deposited with the United States Postal Service in an envelope bearing Express Mail Postage and an Express Mail label, with the below serial number, addressed to the Commissioner of Patents and Trademarks, Washington, D.C., 20231, on the below date of deposit.

Express Mail Label No.:	EI625187245US	Name of Person Making the Deposit:	TONY CHOU
Date of Deposit:	11/22/99	Signature of the Person Making the Deposit:	<i>Tony Chou</i>

In re Application of: Charles Franklin Drill and Milind Weling

Serial No.: 08/824,633

PRIOR APPLICATION Examiner: Rachuba, M.

Filed: 03/27/97

Art Unit: 3724

For: A CUSTOMIZED POLISHING PAD FOR SELECTIVE PROCESS PERFORMANCE DURING CHEMICAL MECHANICAL POLISHING

Box CPA  
Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIVED

NOV 30 1999

CONTINUED PROSECUTION APPLICATION (CPA) TRANSMITTAL  
(37 C.F.R. 1.53(d))

Group 3700

This is a request for a filing under the continued prosecution application (CPA) procedure (37 C.F.R. 1.53(d)).

Of prior application Serial No. 08/824,633 filed 03/27/97  
entitled: A CUSTOMIZED POLISHING PAD FOR SELECTIVE PROCESS PERFORMANCE DURING CHEMICAL MECHANICAL POLISHING

- ☐ Enter the unentered amendment previously filed on \_\_\_\_\_ under 37 CFR 1.116 in the prior nonprovisional application.
- ☒ A preliminary amendment is enclosed.
- ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
- ☐ Information Disclosure Statement is enclosed
  - a. ☐ PTO 1449
  - b. ☐ Copies of references cited

- ☒ The above identified application, in which no payment of issue fee, abandonment of (other than where the above identified application was abandoned under 37 C.F.R. 1.313(b)(5) to permit consideration of an information disclosure statement under 37 C.F.R. 1.97), or termination of proceedings has occurred, is hereby expressly abandoned as of the filing date of this new application. Please use all the contents of the prior application file wrapper, including the drawings, as the basic papers for the new application.
- ☒ It is understood that secrecy under 35 U.S.C. 122 is hereby waived to the extent that if information or access is available to any one of the applications in the file wrapper of a 37 C.F.R. 1.53(d) application, be it either this application or a prior application in the same file wrapper, the PTO may provide similar information or access to all the other applications in the same file wrapper.

11/29/1999 DVUJNG 00000052 08824633

760.00 OP

01 FC:131

### Inventorship statement

(a) ☒ This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventor(s) in this application are

☒ the same.

☐ less than those named in the prior application. It is requested that the following inventor(s) identified above for the prior application be deleted:

---

(type name(s) of inventor(s) to be deleted)

(b) ☒ The inventorship for all the claims in this application is

☒ the same.

☐ not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made, is submitted.

### Identification of Claims for Further Prosecution

☒ The fees to be charged are to be based on the number of claims remaining as a result of the:

☐ the claims as on file in the prior application.

☒ the claims as on file in the prior application and taking into consideration the Preliminary Amendment filed herewith.

### PRIORITY CLAIM

#### A. 35 U.S.C 119

The prior U.S. application(s), including any prior International Application designating the U.S., identified above, in turn itself claim(s) foreign priority(ies) as follows:

country	application no.	filed on
The certified copy(ies) has (have)		
<input type="checkbox"/>	been filed on .....	in prior application .....
	which was filed on .....	
<input type="checkbox"/>	is are attached .....	

#### B. 35 U.S.C. 119(e)

This application claims the benefit of U.S. Provisional Application(s) No(s).:  
APPLICATION NO(S).: FILING DATE

#### C. 35 U.S.C. 120, 121 and 365(c)

This application is a Continued Prosecution Application of and claims the benefit of copending application(s)

<input checked="" type="checkbox"/>	application number	08/824,633	filed on	03/27/97
<input type="checkbox"/>	International Application	.....	filed on	.....

and which designated the U.S."

### Amend Specification

- ☒ Amend the specification by inserting, before the first line, the sentence:  
"This application is a Continued Prosecution of U.S. Patent Application entitled,  
"A CUSTOMIZED POLISHING PAD FOR SELECTIVE PROCESS PERFORMANCE  
DURING CHEMICAL MECHANICAL POLISHING " Serial No.: 08/824,633  
Filed: 03/27/97

### Assignment

- ☒ The prior application is assigned of record to VLSI TECHNOLOGY, INC.
- ☐ An assignment of the invention to \_\_\_\_\_ is attached.
- ☒ Reel and Frame number 8637/0471

### FEES DUE

The fees due for filing the specification pursuant to 37 C.F.R. § 1.16 and for recording of the Assignment, if any, are determined as follows:

CLAIMS					
	NO. OF CLAIMS		EXTRA CLAIMS	RATE	FEES
Basic Application Fee					\$760.00
Total Claims	13	Minus 20=	0	X \$18 =	\$0.00
Independent Claims	3	Minus 3=	0	X \$78 =	\$0.00
If multiple dependent claims are presented, add \$260.00					\$0.00
<b>TOTAL APPLICATION FEE DUE</b>					<b>\$760.00</b>

### PAYMENT OF FEES


1. The full fee due in connection with this communication is \_  
provided as follows:
- ☒ The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No.: 23-0085.  
A duplicate copy of this authorization is enclosed.
- ☒ A check in the amount of \$760.00
- ☐ Charge any fees required or credit any overpayments associated with this filing to Deposit Account No.: 23-0085.

Please direct all correspondence concerning the above-identified application to the following address:

**WAGNER, MURABITO & HAO LLP**  
Two North Market Street, Third Floor  
San Jose, California 95113  
(408) 938-9060

Respectfully submitted,

Date: November 22, 1999

By:   
Glenn D. Barnes  
Reg. No. 42,293



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

I hereby certify that this transmittal of the below described document is being deposited with the United States Postal Service in an envelope bearing First Class Postage and addressed to the U.S. Patent and Trademark Office, Washington, D.C., 20231, on the below date of deposit.				
Date of Deposit:	11/22/99	Name of Person Making the Deposit:	DESIREE ORTIZ	Signature of the Person Making the Deposit:

In re Application

Inventor(s): Charles Franklin Drill and Milind Weling

Application No.: 08/824,633

Filed: 03/27/97

Title: A CUSTOMIZED POLISHING PAD FOR SELECTIVE PROCESS PERFORMANCE DURING CHEMICAL MECHANICAL POLISHING

Assistant Commissioner for Patents  
Washington, D.C. 20231

NOTIFICATION OF FILING OF CONTINUING APPLICATION

☒ Notification is hereby being made of the filing of an CPA application for this case


☒ concurrently herewith.  
☐ on .....

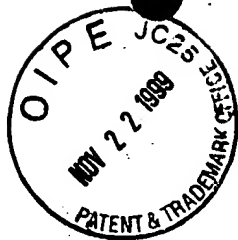
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San Jose, California 95113  
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Date: November 22, 1999

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Reg. No. 42,293



CPA Notification

Applicant: **Drill et al.** Filing Date: **03/27/97**

Docket No.: **VLSI-2759** Serial No.: **08/824,633**

Title: **A CUSTOMIZED POLISHING PAD FOR SELECTIVE PROCESS  
PERFORMANCE DURING CHEMICAL MECHANICAL POLISHING**

Sir:

Please acknowledge receipt of the following:

- ☒ Notification to Parent of filing CPA
- ☒ Conditional Petition for Extension of Time
- ☒ Certificate of Mailing

rev. 2/98 dbp

